

Training Program on "Anti-Money Laundering/ Countering the Financing of Terrorism (AML/CFT) Compliance Obligations for Licensed Stockbrokers" – June 13, 2023 at Center for Banking Studies, Rajagiriya

The Financial Intelligence Unit (FIU) of Sri Lanka organized a training program for the compliance staff of Licensed Stockbrokers on anti-money laundering and countering the financing of terrorism (AML/CFT) compliance obligations". The program was held on June 13, 2023, at the Centre for Banking Studies, Rajagiriya.



The program was attended by around 60 officials representing Licensed Stockbroker Companies, Securities and Exchange Commission (SEC) of Sri Lanka as well as Colombo Stock Exchange (CSE).

The program was inaugurated with the welcome remarks by Dr. (Mrs.) Subhani Keerthiratne, Additional Director of FIU. The keynote address was made by Mrs. T M J Y P Fernando, Senior Deputy Governor of Central Bank of Sri Lanka.

Following main topics were discussed during the technical sessions.

- Compliance lapses identified by the FIU, upcoming Mutual Evaluation of Sri Lanka scheduled in March 2025 and National Risk Assessment (NRA),
- Insights of ML/TF risks identified with respect to the Licensed Stockbrokers from recently concluded NRA,
- goAML reporting with respect to securities sector,
- identifying patterns and reporting of suspicious transactions to the FIU.

The program was successfully concluded with the closing remarks delivered by Dr. Ayesh Ariyasinghe, Additional Director of FIU.