

INFORMATION SHEET FOR NEWLY APPOINTED AML/CFT COMPLIANCE OFFICERS OF FINANCIAL INSTITUTIONS

INITIAL STEPS TO BE TAKEN AS THE COMPLIANCE OFFICER

- Inform the FIU about the appointment of the Compliance Officer (CO) through the Blue Form (Compliance Officer Declaration Form)
 - CO Declaration form can be downloaded from FIU website's downloads section. (<http://www.fiusrilanka.gov.lk/downloads.html>)
 - The duly filled form should be scanned and sent to FIU via email (lankafin@cbsl.lk)
- Register in the goAML system
 - Go to the link https://fiufin.cbsl.lk/goAML_PRD/Home
 - Self-register as a **user** (Compliance Officer / Assistant Compliance Officer) by providing the required information and **attaching the scanned copy of the duly filled Blue Form** (also available for download in the goAML login page)
 - Contact the Head of Financial Data Management Division of the FIU via 0112-477513 to get the login approved for system access

The CO is required to have a comprehensive understanding of the provisions of the FTRA, and Rules, Regulations, Directives, Orders and Guidelines issued thereunder.

IMPORTANT INFORMATION AVAILABLE IN THE FIU WEBSITE (www.fiusrilanka.gov.lk)

- Acts, Rules, Directions, Circulars & Guidelines issued by the FIU & applicable to reporting institutions
- Press releases made by the FIU
- Publications of the FIU (Annual Reports, National Risk Assessment and Mutual Evaluation Reports and National AML/CFT Policy)
- Information on training & awareness programmes including training materials
- Information on UN Sanctions
- Details on FATF and APG
- Under FATF Statement - the list of high-risk countries by FATF

SUBJECT AREAS OF THE FIU & CONTACT DETAILS

- Mrs. Enoka Mohotty** – Director
 - Secretary to the Director - Tel: 0112-477509 Email – dfiu@cbsl.lk Fax – 0112-477692
- Dr (Mrs) Subhani Keerthiratne** - Additional Director Tel – 0112-477264
- Dr Ayesha Ariyasinghe** - Additional Director Tel – 0112-477393
- Mrs. Theja Pathberiya** - Deputy Director Tel – 0112-477699
- Ms. Wangeesha Karunaratne** - Deputy Director Tel – 0112-477343
- Intelligence Management Division (IMD)**
 - Handles Suspicious Transaction Reports and related matters
 - Head of IMD – Mr. Chandima Bandara, Senior Assistant Director
 - Tel: 0112-477102 Email – fiu@cbsl.lk
- Financial Data Management Division (FDMD)**
 - Handles matters relating to mandatory reporting requirements (goAML & LankaFIN), and UNSCR screening
 - Head of FDMD – Mr. Kosala Harshadewa, Senior Assistant Director
 - Tel: 0112-477513 Email – lankafin@cbsl.lk
- Supervision Division – Financial Institutions (SD(1))**
 - Conducts supervision examinations on financial institutions
 - Head of SD(1) – Mrs. Hashini Rangika, Senior Assistant Director
 - Tel: 0112-398766 Email – fiusupervision1@cbsl.lk
- Legal & Policy Division (L&PD)**
 - Handles matters relating to Laws, Rules, Regulations & Directives and Suspension orders
 - Head of L&PD – Mr. Nimantha Athukorala, Senior Assistant Director
 - Tel: 0112-398781 Email – fiulegal@cbsl.lk